

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS
CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

	Initial Filing
<u>SEGREGATION REQUIREMENTS (Section 4d(f) of the CEAct)</u>	<u>05/22/26</u>
1. Net Ledger Balance	
A. Cash	(12)
B. Securities (at market)	
2. Net unrealized profit (loss) in open cleared swaps	-
3. Cleared swaps options	
A. Market value of open cleared swaps option contracts purchased	-
B. Market value of open cleared swaps option contracts granted (sold)	-
4. Net Equity (deficit)	(12)
5. Accounts liquidating to a deficit and accounts with debit balances gross amount	12
Less: amount offset by customer owned securities	
6. Amount required to be segregated for cleared swaps customers	0
<u>FUNDS IN SEGREGATED ACCOUNTS</u>	
7. Deposited in cleared swaps customer segregated accounts at banks	
A. Cash	-
B. Securities representing investments of cleared swaps customers' funds (at market)	-
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	-
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts	
A. Cash	10,000
B. Securities representing investments of cleared swaps customers' funds (at market)	-
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	-
9. Net settlement from (to) derivatives clearing organizations	-
10. Cleared swaps options	
A. Value of open cleared swaps long option contracts	-
B. Value of open cleared swaps short option contracts	-
11. Net equities with other FCMs	
A. Net liquidating equity	-
B. Securities representing investments of cleared swaps customers' funds (at market)	-
C. Securities held for particular cleared swaps customers in lieu of cash	-
12. Segregated funds on hand	
13. Total amount in cleared swaps customer segregation	10,000
14. Excess (deficiency) funds in cleared swaps customer segregation	10,000
15. Management Target Amount for Excess funds in cleared swaps segregated accounts	10,000
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess	(0)

Note:

1. FOREIGN FUTURES AND FOREIGN OPTIONS: Futu Clearing doesn't offer this type of accounts
2. TRADING ON U.S. COMMODITY EXCHANGES: Futu Clearing doesn't offer this type of accounts