

FUTU - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2022

July 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	1.56	0.04	0.29	98.11

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	45.63	58.96	57.33	60.26	45.37	1,939.83	9.9796	9,498.53	9.9880	45,020.59	28.7032	8,616.41	12.8109
Virtu Americas, LLC	22.73	29.41	31.41	30.36	22.60	990.94	10.0000	4,696.31	10.0000	21,366.17	29.8523	5,061.34	14.7427
Virtu Agency	17.98	1.56	0.59	0.28	18.31	-1.83	-5.0000	-431.22	-5.0000	-932.98	-5.0000	0.00	0.0000
Dash/IMC Financial Markets	7.97	5.67	7.56	4.77	8.02	-227.05	-22.7029	-2.03	-16.3537	0.00	0.0000	-727.05	-21.8272
Speedroute, LLC	5.69	4.39	3.11	4.34	5.71	37.75	2.5000	0.40	2.5000	0.51	2.5000	566.75	2.5000

Material Aspects:

CITADEL SECURITIES LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to CDRG. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

Virtu Americas, LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to NITE. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

Virtu Agency:

Futu Clearing Inc pays exchange, regulatory, market data and clearing fees to VALR and receives exchange rebates as credits where applicable Futu Clearing Inc also receives incremental pricing benefits if certain volume thresholds are met.

Dash/IMC Financial Markets:

Futu Clearing Inc pays exchange, regulatory, market data and clearing fees to DFIN and receives exchange rebates as credits where applicable Futu Clearing Inc also receives incremental pricing benefits if certain volume thresholds are met

Speedroute, LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to SPDR. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

July 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	1.54	0.09	0.32	98.04

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	46.37	59.37	62.10	60.20	46.11	13,482.44	8.4823	108,725.87	7.4387	381,599.61	22.1691	31,096.16	5.3155
Virtu Americas, LLC	23.01	29.51	28.40	31.06	22.88	7,226.52	8.4150	60,563.27	7.6807	180,358.70	24.2299	24,339.79	8.1730
Virtu Agency	16.94	1.36	0.00	0.19	17.25	-41.33	-5.0000	-10,499.85	-5.0000	-15,843.56	-5.0000	-10.41	-5.0000
Dash/IMC Financial Markets	7.92	5.36	5.81	4.86	7.97	-957.11	-11.7105	-1.55	-0.7044	0.00	0.0000	-4,148.43	-8.5646
Speedroute, LLC	5.76	4.40	3.69	3.68	5.79	280.81	2.5000	15.72	2.5000	10.81	2.5000	7,758.50	2.5000

Material Aspects:

CITADEL SECURITIES LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to CDRG. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

Virtu Americas, LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to NITE. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

Virtu Agency:

Futu Clearing Inc pays exchange, regulatory, market data and clearing fees to VALR and receives exchange rebates as credits where applicable Futu Clearing Inc also receives incremental pricing benefits if certain volume thresholds are met

Dash/IMC Financial Markets:

Futu Clearing Inc pays exchange, regulatory, market data and clearing fees to DFIN and receives exchange rebates as credits where applicable Futu Clearing Inc also receives incremental pricing benefits if certain volume thresholds are met.

Speedroute, LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to SPDR. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

July 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	1.06	7.29	91.65	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Global Execution Brokers, LP	48.62	49.11	48.59	48.62	0.00	41,177.10	46.1156	486,439.10	48.0945	672,222.10	42.4782	0.00	0.0000
CITADEL SECURITIES LLC	48.41	47.94	48.42	48.42	0.00	45,818.00	50.9933	578,628.50	50.4978	629,741.80	42.6965	0.00	0.0000
Dash/IMC Financial Markets	2.96	2.95	2.99	2.96	0.00	3,321.50	50.3868	33,430.70	53.0646	43,945.50	42.9957	0.00	0.0000

Material Aspects:

Global Execution Brokers, LP:

Futu Clearing, Inc. receives payment for routing multi-listed option order flow to GEB. For complex orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other complex orders the payment rate is \$0.90 per contract. For marketable orders on classes in the penny interval program the payment rate is \$0.50 per contract, and for all other marketable orders the payment rate is \$1.00 per contract. For non-marketable orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other non-marketable orders the payment rate is \$0.90 per contract. All market makers pay the same rate for each respective order flow type. Futu Clearing, Inc. does not negotiate payment as a condition for sending more order flow to a market maker.

CITADEL SECURITIES LLC:

Futu Clearing, Inc. receives payment for routing multi-listed option order flow to CDRG. For complex orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other complex orders the payment rate is \$0.90 per contract. For marketable orders on classes in the penny interval program the payment rate is \$0.50 per contract, and for all other marketable orders the payment rate is \$1.00 per contract. For non-marketable orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other non-marketable orders the payment rate is \$0.90 per contract. All market makers pay the same rate for each respective order flow type. Futu Clearing, Inc. does not negotiate payment as a condition for sending more order flow to a market maker.

Dash/IMC Financial Markets:

Futu Clearing, Inc. receives payment for routing multi-listed option order flow to DASH. For complex orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other complex orders the payment rate is \$0.90 per contract. For marketable orders on classes in the penny interval program the payment rate is \$0.50 per contract, and for all other marketable orders the payment rate is \$1.00 per contract. For non-marketable orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other non-marketable orders the payment rate is \$0.90 per contract. All market makers pay the same rate for each respective order flow type. Futu Clearing, Inc. does not negotiate payment as a condition for sending more order flow to a market maker.

August 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	1.78	0.05	0.38	97.78

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	45.91	59.86	60.62	61.43	45.58	1,893.16	9.9474	9,918.79	9.9987	43,973.37	28.9066	7,427.37	11.9695
Virtu Americas, LLC	23.30	30.45	32.49	31.23	23.14	1,109.94	10.0000	5,771.97	10.0000	21,490.53	29.8668	4,813.67	14.4335
Virtu Agency	20.34	2.12	0.23	0.05	20.76	-2.19	-5.0000	-414.47	-5.0000	-1,005.67	-5.0000	0.00	0.0000
Dash/IMC Financial Markets	9.35	6.76	6.08	6.39	9.42	-443.31	-38.0701	-1.16	-14.9923	0.00	0.0000	-799.41	-23.7953
Speedroute, LLC	1.09	0.80	0.57	0.90	1.10	-18.12	-10.0000	-0.07	-10.0000	-2.42	-29.7537	-626.87	-15.8038

Material Aspects:

CITADEL SECURITIES LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to CDRG. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

Virtu Americas, LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to NITE. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

Virtu Agency:

Futu Clearing Inc pays exchange, regulatory, market data and clearing fees to VALR and receives exchange rebates as credits where applicable Futu Clearing Inc also receives incremental pricing benefits if certain volume thresholds are met.

Dash/IMC Financial Markets:

Futu Clearing Inc pays exchange, regulatory, market data and clearing fees to DFIN and receives exchange rebates as credits where applicable Futu Clearing Inc also receives incremental pricing benefits if certain volume thresholds are met

Speedroute, LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to SPDR. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

August 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	1.73	0.26	0.45	97.55

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	46.93	60.09	61.37	61.21	46.59	20,047.04	8.8030	132,731.34	7.7546	470,731.89	22.9883	36,321.03	5.0605

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	23.42	30.36	31.61	31.22	23.24	10,320.03	8.8705	75,251.96	7.9072	217,939.90	24.2868	32,602.55	8.7231
Virtu Agency	17.70	1.63	0.04	0.06	18.12	-31.63	-5.0000	-13,248.32	-5.0000	-20,258.61	-5.0000	-5.06	-5.0000
Dash/IMC Financial Markets	10.79	7.01	6.56	6.59	10.89	-1,391.05	-11.6081	-14.75	-1.6027	0.00	0.0000	-5,701.60	-9.0897
Speedroute, LLC	1.15	0.91	0.43	0.92	1.16	-326.71	-9.6553	-61.72	-10.0488	-66.87	-29.9722	-8,203.90	-11.2756

Material Aspects:

CITADEL SECURITIES LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to CDRG. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

Virtu Americas, LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to NITE. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

Virtu Agency:

Futu Clearing Inc pays exchange, regulatory, market data and clearing fees to VALR and receives exchange rebates as credits where applicable Futu Clearing Inc also receives incremental pricing benefits if certain volume thresholds are met

Dash/IMC Financial Markets:

Futu Clearing Inc pays exchange, regulatory, market data and clearing fees to DFIN and receives exchange rebates as credits where applicable Futu Clearing Inc also receives incremental pricing benefits if certain volume thresholds are met.

Speedroute, LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to SPDR. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

August 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	1.00	8.11	90.89	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	48.19	47.98	47.85	48.22	0.00	43,947.50	50.9294	735,361.10	50.5933	676,444.50	42.9297	0.00	0.0000
Global Execution Brokers, LP	47.77	47.97	47.94	47.75	0.00	40,437.30	46.6399	606,453.40	47.3638	749,916.20	42.4131	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Dash/IMC Financial Markets	4.04	4.05	4.21	4.02	0.00	4,106.50	50.4174	67,925.30	51.6817	66,332.80	43.3610	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:

Futu Clearing, Inc. receives payment for routing multi-listed option order flow to CDRG. For complex orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other complex orders the payment rate is \$0.90 per contract. For marketable orders on classes in the penny interval program the payment rate is \$0.50 per contract, and for all other marketable orders the payment rate is \$1.00 per contract. For non-marketable orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other non-marketable orders the payment rate is \$0.90 per contract. All market makers pay the same rate for each respective order flow type. Futu Clearing, Inc. does not negotiate payment as a condition for sending more order flow to a market maker.

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Dash/IMC Financial Markets:

Futu Clearing, Inc. receives payment for routing multi-listed option order flow to DASH. For complex orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other complex orders the payment rate is \$0.90 per contract. For marketable orders on classes in the penny interval program the payment rate is \$0.50 per contract, and for all other marketable orders the payment rate is \$1.00 per contract. For non-marketable orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other non-marketable orders the payment rate is \$0.90 per contract. All market makers pay the same rate for each respective order flow type. Futu Clearing, Inc. does not negotiate payment as a condition for sending more order flow to a market maker.

September 2022

S&P 500 Stocks

Summary

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100.00	1.68	0.11	0.37	97.84

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	45.20	60.31	58.40	60.95	44.87	1,398.51	9.9849	10,595.76	9.9991	45,153.95	29.0415	7,735.09	12.4723
Virtu Americas, LLC	22.66	30.34	33.51	31.21	22.49	730.04	10.0000	5,468.07	10.0000	22,949.51	29.8567	4,657.38	14.8181
Virtu Agency	20.97	1.68	0.31	0.00	21.40	-1.79	-5.0000	-374.84	-5.0000	-1,032.74	-5.0000	-0.00	-5.0000
Dash/IMC Financial Markets	8.31	5.59	6.03	5.75	8.37	-120.97	-21.3429	-1.29	-14.3503	0.00	0.0000	-609.53	-19.0719
Speedroute, LLC	2.85	2.09	1.76	2.09	2.87	-43.60	-10.0000	-2.94	-10.0000	-5.26	-29.9544	-1,999.66	-16.5153

Material Aspects:

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Futu Clearing, Inc. receives payment for routing listed equity order flow to CDRG. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

Virtu Americas, LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to NITE. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

Virtu Agency:

Futu Clearing Inc pays exchange, regulatory, market data and clearing fees to VALR and receives exchange rebates as credits where applicable Futu Clearing Inc also receives incremental pricing benefits if certain volume thresholds are met.

Dash/IMC Financial Markets:

Futu Clearing Inc pays exchange, regulatory, market data and clearing fees to DFIN and receives exchange rebates as credits where applicable Futu Clearing Inc also receives incremental pricing benefits if certain volume thresholds are met

Speedroute, LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to SPDR. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

September 2022

Non-S&P 500 Stocks**Summary**

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	2.26	0.21	0.47	97.07

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	45.72	59.95	59.76	60.14	45.29	32,781.61	8.3792	120,974.27	8.6253	387,573.84	24.3296	26,545.97	5.0118
Virtu Americas, LLC	22.97	30.37	30.09	31.11	22.75	17,605.55	9.0168	65,298.09	8.6095	180,781.11	25.9435	23,563.32	8.7521
Virtu Agency	18.36	1.27	0.12	0.30	18.89	-54.73	-5.0000	-9,805.87	-5.0000	-13,254.86	-5.0000	-18.70	-5.0000
Dash/IMC Financial Markets	9.91	6.24	7.72	6.24	10.02	-2,008.24	-13.3860	-40.03	-5.3876	0.00	0.0000	-4,534.44	-9.6987
Speedroute, LLC	3.04	2.16	2.31	2.22	3.07	-1,076.35	-9.4671	-48.48	-9.1836	-193.33	-29.9124	-19,044.06	-12.5924

Material Aspects:

CITADEL SECURITIES LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to CDRG. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

Virtu Americas, LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to NITE. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

Virtu Agency:

Futu Clearing Inc pays exchange, regulatory, market data and clearing fees to VALR and receives exchange rebates as credits where applicable Futu Clearing Inc also receives incremental pricing benefits if certain volume thresholds are met

Dash/IMC Financial Markets:

Futu Clearing Inc pays exchange, regulatory, market data and clearing fees to DFIN and receives exchange rebates as credits where applicable Futu Clearing Inc also receives incremental pricing benefits if certain volume thresholds are met.

Speedroute, LLC:

Futu Clearing, Inc. receives payment for routing listed equity order flow to SPDR. For marketable orders the payment rate is \$0.001 per share, for non-marketable orders the rate is \$0.003 per share, and for extended hours orders the rate is \$0.0002 per share.

September 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	1.17	9.39	89.44	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	48.00	47.72	48.08	47.99	0.00	50,254.50	50.2384	769,348.10	49.8605	626,319.20	42.1253	0.00	0.0000
Global Execution Brokers, LP	47.96	48.44	47.91	47.96	0.00	47,240.00	45.4734	609,269.20	47.3256	719,395.10	41.8774	0.00	0.0000
Dash/IMC Financial Markets	4.04	3.84	4.01	4.05	0.00	7,740.50	50.0356	56,628.80	51.9311	62,819.30	41.8502	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:

Futu Clearing, Inc. receives payment for routing multi-listed option order flow to CDRG. For complex orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other complex orders the payment rate is \$0.90 per contract. For marketable orders on classes in the penny interval program the payment rate is \$0.50 per contract, and for all other marketable orders the payment rate is \$1.00 per contract. For non-marketable orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other non-marketable orders the payment rate is \$0.90 per contract. All market makers pay the same rate for each respective order flow type. Futu Clearing, Inc. does not negotiate payment as a condition for sending more order flow to a market maker.

Global Execution Brokers, LP:

Futu Clearing, Inc. receives payment for routing multi-listed option order flow to GEB. For complex orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other complex orders the payment rate is \$0.90 per contract. For marketable orders on classes in the penny interval program the payment rate is \$0.50 per contract, and for all other marketable orders the payment rate is \$1.00 per contract. For non-marketable orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other non-marketable orders the payment rate is \$0.90 per contract. All market makers pay the same rate for each respective order flow type. Futu Clearing, Inc. does not negotiate payment as a condition for sending more order flow to a market maker.

Dash/IMC Financial Markets:

Futu Clearing, Inc. receives payment for routing multi-listed option order flow to DASH. For complex orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other complex orders the payment rate is \$0.90 per contract. For marketable orders on classes in the penny interval program the payment rate is \$0.50 per contract, and for all other marketable orders the payment rate is \$1.00 per contract. For non-marketable orders on classes in the penny interval program the payment rate is \$0.40 per contract, and for all other non-marketable orders the payment rate is \$0.90 per contract. All market makers pay the same rate for each respective order flow type. Futu Clearing, Inc. does not negotiate payment as a condition for sending more order flow to a market maker.